

PREA Facility Audit Report: Final

Name of Facility: Whiteman Air Force Base Detention

Facility Type: Prison / Jail

Date Interim Report Submitted: NA

Date Final Report Submitted: 11/30/2022

| Auditor Certification | |
|---|---|
| The contents of this report are accurate to the best of my knowledge. | <input type="checkbox"/> |
| No conflict of interest exists with respect to my ability to conduct an audit of the agency under review. | <input type="checkbox"/> |
| I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template. | <input type="checkbox"/> |
| Auditor Full Name as Signed: Jennifer L. Feicht | Date of Signature: 11/30/2022 |

| AUDITOR INFORMATION | |
|-------------------------------------|----------------------------|
| Auditor name: | Feicht, Jennifer |
| Email: | jennifer@jlfconsulting.net |
| Start Date of On-Site Audit: | 03/23/2022 |
| End Date of On-Site Audit: | 03/23/2022 |

| FACILITY INFORMATION | |
|-----------------------------------|--|
| Facility name: | Whiteman Air Force Base Detention |
| Facility physical address: | 2 Miles South of Knob Noster, Whiteman AFB, Missouri - 65305 |
| Facility mailing address: | |

| Primary Contact | |
|--------------------------|----------------------------|
| Name: | Alberto Rivera |
| Email Address: | alberto.rivera.1@us.af.mil |
| Telephone Number: | 6606876553 |

| Warden/Jail Administrator/Sheriff/Director | |
|---|------------------------|
| Name: | Taylor Blain |
| Email Address: | taylor.blain@us.af.mil |
| Telephone Number: | 660-687-6829 |

| Facility PREA Compliance Manager | |
|---|-------------------------|
| Name: | Trajan Lewis |
| Email Address: | trajan.lewis@us.af.mil |
| Telephone Number: | O: 660-687-3713 |
| Name: | Katie South |
| Email Address: | katie.south.1@us.af.mil |
| Telephone Number: | |

| Facility Characteristics | |
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| Designed facility capacity: | 11 |
| Current population of facility: | 0 |
| Average daily population for the past 12 months: | 0 |
| Has the facility been over capacity at any point in the past 12 months? | No |
| Which population(s) does the facility hold? | Both females and males |
| Age range of population: | 18+ |
| Facility security levels/inmate custody levels: | Level 1 Correctional Facility |
| Does the facility hold youthful inmates? | No |
| Number of staff currently employed at the facility who may have contact with inmates: | 3 |
| Number of individual contractors who have contact with inmates, currently authorized to enter the facility: | 0 |
| Number of volunteers who have contact with inmates, currently authorized to enter the facility: | 0 |

| AGENCY INFORMATION | |
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| Name of agency: | United States Air Force |
| Governing authority or parent agency (if applicable): | Department of Defense |
| Physical Address: | 1690 Air Force Pentagon, Washington, Dist. Columbia - 20330 |
| Mailing Address: | |
| Telephone number: | 2109250845 |

| Agency Chief Executive Officer Information: | |
|--|---------------------------|
| Name: | Edward Outlaw |
| Email Address: | edward.outlaw.2@us.af.mil |
| Telephone Number: | 210-925-7733 |

| Agency-Wide PREA Coordinator Information | | | |
|---|---------------|-----------------------|---------------------------|
| Name: | Marcus Sidney | Email Address: | marcus.sidney.1@us.af.mil |

| SUMMARY OF AUDIT FINDINGS | |
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| <p>The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.</p> <p>Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.</p> | |
| Number of standards exceeded: | |
| 0 | |
| Number of standards met: | |
| 45 | |
| Number of standards not met: | |
| 0 | |

POST-AUDIT REPORTING INFORMATION

GENERAL AUDIT INFORMATION

On-site Audit Dates

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| 1. Start date of the onsite portion of the audit: | 2022-03-23 |
| 2. End date of the onsite portion of the audit: | 2022-03-23 |

Outreach

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| 10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| a. Identify the community-based organization(s) or victim advocates with whom you communicated: | I was able to interview one of the victim advocates in the SAPR Office. She was able to provide information regarding the rape crisis services that are available to anyone that would be housed in the confinement facility on base. |

AUDITED FACILITY INFORMATION

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| 14. Designated facility capacity: | 8 |
| 15. Average daily population for the past 12 months: | 0 |
| 16. Number of inmate/resident/detainee housing units: | 2 |

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| <p>17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)</p> |
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Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit

Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit

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| <p>36. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:</p> | <p>0</p> |
| <p>37. Enter the total number of youthful inmates or youthful/juvenile detainees in the facility as of the first day of the onsite portion of the audit:</p> | <p>0</p> |
| <p>38. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:</p> | <p>0</p> |
| <p>39. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:</p> | <p>0</p> |
| <p>40. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:</p> | <p>0</p> |

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| 41. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit: | 0 |
| 42. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit: | 0 |
| 43. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit: | 0 |
| 44. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit: | 0 |
| 45. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit: | 0 |
| 46. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit: | 0 |
| 47. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit: | 0 |

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| <p>48. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</p> | <p>Again, this is a small facility, and it is not unusual for a USAF confinement facility not to have any confinees for a period of time.</p> |
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Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit

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| <p>49. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</p> | <p>1</p> |
| <p>50. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p> | <p>0</p> |
| <p>51. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p> | <p>0</p> |
| <p>52. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</p> | <p>As noted above, this is a small facility and there are few times throughout a year that there are any confinees being held in the facility. Therefore, there are few staff assigned to the facility.</p> |

INTERVIEWS

Inmate/Resident/Detainee Interviews

Random Inmate/Resident/Detainee Interviews

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| <p>53. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:</p> | <p>0</p> |
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| <p>54. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)</p> | <p><input type="checkbox"/> Age</p> <p><input type="checkbox"/> Race</p> <p><input type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic)</p> <p><input type="checkbox"/> Length of time in the facility</p> <p><input type="checkbox"/> Housing assignment</p> <p><input type="checkbox"/> Gender</p> <p><input type="checkbox"/> Other</p> <p><input checked="" type="checkbox"/> None</p> |
| <p>If "None," explain:</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <p>55. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <p>56. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?</p> | <p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> |
| <p>a. Explain why it was not possible to conduct the minimum number of random inmate/resident/detainee interviews:</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <p>57. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <p>Targeted Inmate/Resident/Detainee Interviews</p> | |
| <p>58. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:</p> | <p>0</p> |

As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".

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| <p>59. Enter the total number of interviews conducted with youthful inmates or youthful/juvenile detainees using the "Youthful Inmates" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/detainees.</p> <p><input type="checkbox"/> The inmates/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/detainees).</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <p>60. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:</p> | <p>0</p> |

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| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <p>61. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |

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| <p>62. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <p>63. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |

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| <p>64. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <p>65. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |

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| <p>66. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <p>67. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |

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| <p>68. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <p>69. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |

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| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <p>70. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</p> | <p>There were no confinees in the facility at the time of the onsite audit.</p> |
| <h2>Staff, Volunteer, and Contractor Interviews</h2> | |
| <h3>Random Staff Interviews</h3> | |
| <p>71. Enter the total number of RANDOM STAFF who were interviewed:</p> | <p>0</p> |
| <p>72. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</p> | <p> <input type="checkbox"/> Length of tenure in the facility <input type="checkbox"/> Shift assignment <input type="checkbox"/> Work assignment <input type="checkbox"/> Rank (or equivalent) <input type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken) <input checked="" type="checkbox"/> None </p> |
| <p>If "None," explain:</p> | <p>There is only one staff member in the facility. I interviewed him as both a random and specialized staff member.</p> |
| <p>73. Were you able to conduct the minimum number of RANDOM STAFF interviews?</p> | <p> <input type="radio"/> Yes <input checked="" type="radio"/> No </p> |

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| <p>a. Select the reason(s) why you were unable to conduct the minimum number of RANDOM STAFF interviews: (select all that apply)</p> | <p><input type="checkbox"/> Too many staff declined to participate in interviews.</p> <p><input checked="" type="checkbox"/> Not enough staff employed by the facility to meet the minimum number of random staff interviews (Note: select this option if there were not enough staff employed by the facility or not enough staff employed by the facility to interview for both random and specialized staff roles).</p> <p><input type="checkbox"/> Not enough staff available in the facility during the onsite portion of the audit to meet the minimum number of random staff interviews.</p> <p><input type="checkbox"/> Other</p> |
| <p>74. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p> | <p>There is only one staff member in the facility. I interviewed him as both a random and specialized staff member.</p> |
| <p>Specialized Staff, Volunteers, and Contractor Interviews</p> | |
| <p>Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.</p> | |
| <p>75. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):</p> | <p>5</p> |
| <p>76. Were you able to interview the Agency Head?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> |
| <p>77. Were you able to interview the Warden/Facility Director/Superintendent or their designee?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> |

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| 78. Were you able to interview the PREA Coordinator? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| 79. Were you able to interview the PREA Compliance Manager? | <input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards) |

80. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)

- Agency contract administrator
- Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- Line staff who supervise youthful inmates (if applicable)
- Education and program staff who work with youthful inmates (if applicable)
- Medical staff
- Mental health staff
- Non-medical staff involved in cross-gender strip or visual searches
- Administrative (human resources) staff
- Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- Investigative staff responsible for conducting administrative investigations
- Investigative staff responsible for conducting criminal investigations
- Staff who perform screening for risk of victimization and abusiveness
- Staff who supervise inmates in segregated housing/residents in isolation
- Staff on the sexual abuse incident review team
- Designated staff member charged with monitoring retaliation
- First responders, both security and non-security staff
- Intake staff

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| | <input type="checkbox"/> Other |
| 81. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| 82. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| 83. Provide any additional comments regarding selecting or interviewing specialized staff. | There are very few people that are attached to a confinement facility in the USAF. However, I was able to interview the key people in this facility. |

SITE REVIEW AND DOCUMENTATION SAMPLING

Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

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| 84. Did you have access to all areas of the facility? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
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Was the site review an active, inquiring process that included the following:

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| <p>85. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?</p> | <p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> |
| <p>a. Explain which facility practices you were unable to observe per the site review component of the audit instrument and why:</p> | <p>I was able to observe the signage throughout the facility. However, as there were no confinees in the facility, I was not able to observe certain items which would be related to having a confinee in the facility.</p> |
| <p>86. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> |
| <p>87. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?</p> | <p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> |
| <p>88. Informal conversations with staff during the site review (encouraged, not required)?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> |
| <p>89. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).</p> | <p>As there were no confinees in the facility, I was not able to observe certain items which would be related to having a confinee in the facility.</p> |

Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

| | |
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| <p>90. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> |
| <p>91. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).</p> | <p>I was able to view documentation I asked for. The NCOIC had to go back to 2018 to provide confinee and staff documentation.</p> |

SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

92. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:

| | # of sexual abuse allegations | # of criminal investigations | # of administrative investigations | # of allegations that had both criminal and administrative investigations |
|--------------------------------------|-------------------------------|------------------------------|------------------------------------|---|
| Inmate-on-inmate sexual abuse | 0 | 0 | 0 | 0 |
| Staff-on-inmate sexual abuse | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |

93. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:

| | # of sexual harassment allegations | # of criminal investigations | # of administrative investigations | # of allegations that had both criminal and administrative investigations |
|---|------------------------------------|------------------------------|------------------------------------|---|
| Inmate-on-inmate sexual harassment | 0 | 0 | 0 | 0 |
| Staff-on-inmate sexual harassment | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |

Sexual Abuse and Sexual Harassment Investigation Outcomes

Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

94. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Referred for Prosecution | Indicted/ Court Case Filed | Convicted/ Adjudicated | Acquitted |
|--------------------------------------|---------|--------------------------|----------------------------|------------------------|-----------|
| Inmate-on-inmate sexual abuse | 0 | 0 | 0 | 0 | 0 |
| Staff-on-inmate sexual abuse | 0 | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | 0 |

95. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Unfounded | Unsubstantiated | Substantiated |
|--------------------------------------|---------|-----------|-----------------|---------------|
| Inmate-on-inmate sexual abuse | 0 | 0 | 0 | 0 |
| Staff-on-inmate sexual abuse | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |

Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term "inmate" in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

96. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Referred for Prosecution | Indicted/ Court Case Filed | Convicted/ Adjudicated | Acquitted |
|---|---------|--------------------------|----------------------------|------------------------|-----------|
| Inmate-on-inmate sexual harassment | 0 | 0 | 0 | 0 | 0 |
| Staff-on-inmate sexual harassment | 0 | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | 0 |

97. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Unfounded | Unsubstantiated | Substantiated |
|---|---------|-----------|-----------------|---------------|
| Inmate-on-inmate sexual harassment | 0 | 0 | 0 | 0 |
| Staff-on-inmate sexual harassment | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |

Sexual Abuse and Sexual Harassment Investigation Files Selected for Review

Sexual Abuse Investigation Files Selected for Review

| | |
|--|---|
| 98. Enter the total number of SEXUAL ABUSE investigation files reviewed/sampled: | 0 |
| a. Explain why you were unable to review any sexual abuse investigation files: | There have been no investigations of sexual abuse as there have been no confinees in the facility for the preceding twelve months. |
| 99. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes? | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files) |

Inmate-on-inmate sexual abuse investigation files

| | |
|---|--|
| 100. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled: | 0 |
| 101. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations? | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files) |
| 102. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations? | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files) |

Staff-on-inmate sexual abuse investigation files

| | |
|--|---|
| 103. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled: | 0 |
| 104. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations? | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files) |
| 105. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations? | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files) |

Sexual Harassment Investigation Files Selected for Review

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| 106. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled: | 0 |
| a. Explain why you were unable to review any sexual harassment investigation files: | There have been no investigations of sexual harassment as there have been no confinees in the facility for the preceding twelve months. |
| 107. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes? | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files) |

Inmate-on-inmate sexual harassment investigation files

108. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:

0

109. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?

Yes

No

NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)

110. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?

Yes

No

NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)

Staff-on-inmate sexual harassment investigation files

111. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:

0

112. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?

Yes

No

NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)

| | |
|---|--|
| 113. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations? | <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files) |
|---|--|

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| 114. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files. | There have been no investigations of sexual abuse or sexual harassment as there have been no confinees in the facility for the preceding twelve months. |
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SUPPORT STAFF INFORMATION

DOJ-certified PREA Auditors Support Staff

| | |
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| 115. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly. | <input type="radio"/> Yes <input checked="" type="radio"/> No |
|--|--|

Non-certified Support Staff

| | |
|--|--|
| 116. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly. | <input type="radio"/> Yes <input checked="" type="radio"/> No |
|--|--|

AUDITING ARRANGEMENTS AND COMPENSATION

| | |
|---|---|
| 121. Who paid you to conduct this audit? | <p><input type="radio"/> The audited facility or its parent agency</p> <p><input type="radio"/> My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)</p> <p><input checked="" type="radio"/> A third-party auditing entity (e.g., accreditation body, consulting firm)</p> <p><input type="radio"/> Other</p> |
| Identify the name of the third-party auditing entity | Ladlas Prince, LLC. |

Standards

Auditor Overall Determination Definitions

- Exceeds Standard
(Substantially exceeds requirement of standard)
- Meets Standard
(substantial compliance; complies in all material ways with the stand for the relevant review period)
- Does Not Meet Standard
(requires corrective actions)

Auditor Discussion Instructions

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

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| 115.11 | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The United States Air Force has established a zero-tolerance policy for all its confinement facilities. The Whiteman Confinement Facility PREA Guidance document states the following.</p> <p>1. PURPOSE. The Air Force Corrections System is committed to zero tolerance of any form of sexual abuse and sexual harassment in facilities it operates directly or with which it hold contracts for the incarceration of confines and detainees. The purpose of this guidance is to outline the approach to preventing, detecting, and responding to sexual abuse.</p> <p>2. ZERO TOLERANCE POLICY [C.F.R. 115.11(a), AFI 31-105 para 1.3.12.2.2.] The 509 SFS has a zero-tolerance policy towards all forms of sexual abuse and sexual harassment. This policy is directed by 28 Code of Federal Regulations (C.R.F.) Part 115, Air Force Manual (AFMAN) 31-115, Volume 1 and reaffirmed in this guidance for the enforcement prevention, detection and response to such conduct.</p> <p>The USAF has assigned one person, the PREA Coordinator, to oversee all confinement facilities operated by the USAF. This position is housed at the Lackland Air Force Base in San Antonio, TX. The current, and first PREA Coordinator, for the USAF is a civilian position. The PREA Coordinator is a retired AF Corrections Superintendent who also has experience working in civilian corrections in Texas. He established the PREA program from the ground up and is responsible to ensure all policies remain up to date and all facilities are in compliance with the PREA standard.</p> <p>In addition to his PREA duties, the PREA Coordinator is also responsible for overseeing the overall corrections policy, restricted housing, coordinating offender movement between facilities in Levels 1-3.</p> <p>Each facility has a PREA Compliance Manager (PCM) who does not directly oversee the confinement facility but does conduct PREA functions. The Non-Commissioned Officer In Charge (NCOIC) oversees the confinement facility and performs PREA functions. There is also an Assistant NCOIC for all facilities. When no corrections staff are on duty, the supervision of the confinement facility falls to the Flight Chief on duty.</p> <p>The local PREA staff members at each facility are assigned to this position for an average of two years and then rotate out for a variety of reasons including, but not limited to military orders, contingency deployments, promotions, separations from the service, etc.</p> |

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| 115.12 | Contracting with other entities for the confinement of inmates |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | The confinement facility at Whiteman does not house confinees at any other correctional facilities outside of other Air Force confinement facilities located in close proximity to the base. |

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| 115.13 | Supervision and monitoring |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>Whiteman CF PREA staff participate in the annual staffing plan review. The staff look at the identified areas for review and determine if these areas are reviewed. The information is provided to the "Warden" or "Jail Administrator" of the facility. This person for Whiteman CF has the rank of Captain and he oversees the confinement facility. Once the facility has developed the staffing plan and has provided it to the command staff to review, the plan is forwarded to the USAF PREA Coordinator.</p> <p>One of the difficulties of most USAF confinement facilities in terms of staffing is that staff who are hired to work in the confinement facility are still available to be deployed or transferred to another base for a period of time. This creates an opening at the confinement facility for a period of time.</p> <p>The PREA Guidance document contains information regarding the staffing plan for the facility. It reads as follows.</p> <p>4. PREVENTING AND DETECTING SEXUAL ABUSE AND HARRASMENT. The 509 SFS adopts and implements the following measures to prevent and detect sexual abuse and sexual harassment in its confinement facility:</p> <p>4.1. Staffing Plan/Video Monitoring: The CF develops, documents, and makes its best effort to comply on a regular basis with the staffing plan. The plan ensures adequate levels of staffing and video monitoring to protect confinees against sexual abuse. The staffing plan ensures that the following factors are taken into consideration:</p> <p>4.1.1. Generally accepted detention and correctional practices</p> <p>4.1.2. Any judicial findings of inadequacy</p> <p>4.1.3. Any findings of inadequacy from Federal investigative agencies</p> <p>4.1.4. Any findings of inadequacy from internal or external oversight bodies</p> <p>4.1.5. All components of the facility's physical plan</p> <p>4.1.6. The composition of the confinee population</p> <p>4.1.7. The number and placement of supervisory staff</p> <p>4.1.8. Programs occurring on a particular shift</p> <p>4.1.9. Any applicable state or local laws, regulations, or standards</p> <p>4.1.10. The prevalence of substantiated and unsubstantiated incident of sexual abuse</p> |

4.1.11. 509 SFS makes its best efforts to comply with the staffing and video monitoring plan. In circumstances where it is not complied with, the CF will document, justify, and ensure the approval of all deviations by the Confinement Officer.

4.1.12. Under PREA, the DFC conducts an annual review of the staffing plan, CCTV plan, and policy of documented higher level unannounced supervisory visits. The staffing plan along with the Annual PREA Report is sent to AFSFC/FC at afsfc.sfcv.1@us.af.mil NLT 16 Jan CY.

During times when there is a confinee incarcerated at the facility, higher level staff will make unannounced rounds of the entire confinement facility. These staff members are all at a rank of E7 or above and are from different sections of the installation. These rounds are documented in three different areas; the confinement facility blotter, the security forces blotter and in the AFJIS database. The review of the blotters showed that different staff members made the unannounced rounds during different shifts and on different days of the week. Rounds occurred once a week when there were confinees in the facility.

During the rounds, staff would do a walk-through of the facility, talk to the confinees to inquire as to their safety and ask if there were any concerns that they have.

The PREA Guidance document contains information regarding unannounced rounds. It reads as follows.

4.2. Unannounced Rounds: Upper level squadron leadership conducts and documents unannounced rounds covering all shifts, and all areas of the facility, to identify and deter staff sexual abuse or harassment.

Note: Staff members who are aware of these rounds are prohibited from alerting other staff as to when or where these rounds are occurring, unless related to the legitimate operational needs of the facility.

4.2.1. The unannounced rounds will be coordinated by the PREA Compliance Manager and conducted at random, at least once per week, covering all shifts.

4.2.2. Flight Chiefs, SNCOs and Officers responsible for conducting unannounced rounds will be appointed in writing by the DFC.

4.2.3. All rounds will be documented in the blotter. Records are maintained for two years.

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| 115.14 | Youthful inmates |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>While it is possible for a 17-year-old individual to be enlisted in the Air Force, the Whiteman Confinement Facility has never housed a youthful offender in their facility. Staff also indicated that they have never seen any information that there has ever been a 17-year-old housed there. It would be an extremely rare occurrence if it did.</p> <p>Even though it is highly unlikely this situation would occur at the facility, the PREA Guidance document does address the situation. It reads as follows.</p> <p>4.3. Youthful Confinees:</p> <p>4.3.1. Very few military members in the Service are under 18 years of age, however with parental approval, enlistment could occur at age 17. If this situation occurs, and the CF currently has adult confinees, the confinement NCOIC will request that the youthful confinee be transferred to a facility that does not house adults or is properly equipped to house youthful confinees. If sexual victimization is discovered by medical or mental health practitioners, informed consent is not needed by the treatment staff to report this information to the confinement staff per PREA.</p> <p>4.3.2. Youthful confinees shall not be allowed to have sight, sound or physical contact with any adult confinee when using showers, dayrooms, sleeping quarters, or common areas where adult confinees are present in the housing unit.</p> <p>4.3.3. Youthful confinees will be escorted at all times when outside of their housing unit.</p> <p>4.3.4. Youthful confinees shall have access to all programs available to general population confinees and access to any assigned work details. Restrictive housing will only be used for housing youthful confinees if the following conditions exist:</p> <ul style="list-style-type: none"> • Reception • Investigation • Violation of facility rules • Medical observation • No available space in the general housing unit to accommodate youthful confinees without violating the PREA standards. |

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| 115.15 | Limits to cross-gender viewing and searches |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>As with all USAF confinement facilities, Whiteman has policies and procedures in place which are clear that staff of the opposite gender will not have the ability to view confinees when they are changing clothes, showering, or performing bodily functions, except in the instance of completing routine rounds in the facility. This was confirmed by interviews with staff and review of documentation provided in the pre-audit information.</p> <p>Additionally, staff were able to discuss the training they were provided in terms of cross gender searches. The facility does not conduct any unclothed searches or pat searches by staff of the opposite gender. If there is a female in the facility and no females are working at that time in the facility, a SFS staff member will be called to conduct the search.</p> <p>The PREA Guidance document states the following related to cross gender viewing.</p> <p>4.5. Viewing:</p> <p>4.5.1. When using Closed Circuit Televisions (CCTV), all blind spots will be eliminated where staff or confinees may be isolated.</p> <p>4.5.2. Maintain all CCTV digital recordings for a minimum of 30 days and maintain longer if the material is the subject of an investigation.</p> <p>4.5.3. Ensure CCTV does not invade confinee privacy (i.e., do not place in cells, toilet, or shower areas) unless suicidal or violent behavior dictates otherwise. Keep CCTV monitors from public view. Ensure opposite gender staff cannot view monitors. Follow guidelines for cross gender viewing under PREA.</p> <p>4.5.4. A notice will be posted on the confinee bulletin board within the common areas of the facility stating: "NOTICE TO CONFINEES: Male and female staff routinely work and visit in confinee housing areas."</p> <p>4.5.5. Key Implementation Considerations:</p> <p>4.5.5.1. Cross-gender viewing of transgender confinees is also prohibited. The CF will consult with the Confinement Officer to make a case-by-case determination about which gender of staff would be appropriate to view a transgender confinee in a state of undress. In general, a transgender woman should not be viewed by male staff, and transgender man should not be viewed by female staff when they are not fully clothed.</p> <p>4.5.5.2. If opposite-gender staff will be conducting rounds in housing units while confinees are asleep (such as male staff checking a female dorm), the</p> |

opposite-gender staff member should announce that these rounds will occur prior to “lights out.”

4.5.5.3. The policy requires regular verbal notification. A sign or notice in a confinee handbook or other written materials is not sufficient.

4.5.5.4. Opposite-gender staff must announce their presence to allow confinees sufficient time to adjust their clothing or cover their bodies.

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| 115.16 | Inmates with disabilities and inmates who are limited English proficient |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The United States Air Force has a number of requirements for any person wishing to enlist. First, the person must be able to speak and read English proficiently. They must be able to pass a physical examination and physical ability tests. Additionally, they must go through psychological testing and score at a certain competency level in order to meet the requirements. Due to the requirements of the military, the requirements of this standard are put into place, however, they are rarely, if ever, used.</p> <p>The facility does have PREA information posted throughout in both English and Spanish. Any other information can also be provided in Spanish. However, as stated above, all military personnel must be able to read English proficiently. During interviews, staff did indicate PREA information is provided to confinees when they arrive, the staff do ask the confinee if they understand the information or have any questions about the information. If the confinee does have any questions, the staff will answer those questions and ensure the confinee understands all the material.</p> |

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| 115.17 | <p data-bbox="240 91 1503 1686">Hiring and promotion decisions</p> <p data-bbox="240 168 1503 241">Auditor Overall Determination: Meets Standard</p> <p data-bbox="240 250 1503 324">Auditor Discussion</p> <p data-bbox="240 333 1503 638">All USAF confinement facilities, including 509 SFS, require that all staff have background checks and provide information to leadership if they are involved in any type of contact with law enforcement, both military and civilian. This of course involves any incidents of sexual abuse or sexual harassment. Interviews with leadership and staff indicated that at the time of enlistment or re-enlistment, all personnel are required to submit to background checks. Background checks are also conducted when the individual is assigned to the confinement facility.</p> <p data-bbox="240 656 1503 869">These background checks are completed by the Office of Personnel Management (OPM). Additionally, there is continual monitoring for charges through the Air Force Justice Information System (AFJIS). If a member of the Air Force is charged with any criminal charge, the system will alert the command of the base where the staff member is stationed.</p> <p data-bbox="240 887 1503 940">The 509th PREA Guidance document provides the following direction for staff.</p> <p data-bbox="240 965 1503 1008">3.3. Hiring and Promotion Practices:</p> <p data-bbox="240 1037 1503 1164">3.3.1. All CF staff applicants who have contact with inmates directly will be asked about previous sexual misconduct as part of their interviews for hiring or promotions and will have a NCIC background check conducted.</p> <p data-bbox="240 1193 1503 1276">3.3.2. All CF staff will be asked about previous sexual misconduct using the Air Force PREA Disclosure form.</p> <p data-bbox="240 1305 1503 1473">3.3.3. All CF staff are required to disclose any sexual misconduct that occurs prior to or during their employment at the CF. Any omissions regarding such misconduct, or the provision of materially false information is grounds for termination.</p> <p data-bbox="240 1503 1503 1671">3.3.4. The CF will provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving an approved Freedom of Information Act (FOIA) request from an institutional employer for whom such employee has applied to work.</p> |
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| 115.18 | Upgrades to facilities and technologies |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The Whiteman CF has not completed any major renovations or additions to the electronic monitoring system since the last PREA audit. However, it does have guidance, in the PREA Guidance document, for that process should the facility update its facility or technology. It reads as follows.</p> <p>3.4. Upgrades to Facilities and Technologies:</p> <p>3.4.1. When designing or acquiring any new facility and in planning any substantial expansion or modification of existing facilities, the CF shall consider the effect of the design, acquisition, expansion, or modification on its ability to protect confinees from sexual abuse.</p> <p>3.4.2. When installing or updating a video monitoring system, electronic surveillance system, or other monitoring technology, the CF considers how such technology may enhance its ability to protect confinees from sexual abuse.</p> |

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| 115.21 | Evidence protocol and forensic medical examinations |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The confinement facility at Whiteman is not responsible for conducting either criminal or administrative investigations related to PREA. If there is an allegation made, the facility will immediately call the Air Force Office of Special Investigations (AFOSI). The investigators at AFOSI will review the initial information to determine whether or not there is staff involvement or if the allegations could constitute a criminal action. If either of those two criteria are present, AFOSI will be the entity to investigate the allegation. If neither of those two elements are present, AFOSI will decline the case and the investigators in the Special Forces Investigative (SFI) Unit will conduct the investigation.</p> <p>The confinement facility at Whiteman, like all other USAF confinement facilities, does not employ medical or mental health staff directly. There are resources available both on the base and in the community that a victim will have access to. There is a medical center on the base, however, it is not open 24 hours per day. Nor does the base medical center employ any SANE/SAFE nurses. Should a confinee require a forensic medical exam, they would go to a community hospital in either Warrensburg or Sedalia. There are two medical facilities which employ SANE/SAFE nurses. The medical center in Warrensburg is Western Missouri Medical Center. This facility has SAFE nurses on call and will call the nurse on call once the victim arrives at the hospital. The Baffwell Medical Center is located in Sedalia and this facility has one SAFE nurse on staff.</p> <p>It does not matter what entity conducts the investigation or what medical center the victim goes to, if the victim would like to have someone there with them when the forensic medical exam is being performed, or during the questioning process, the SAPR Office will be called to provide those services. These services are provided to any military personnel on the base, including those that are housed in the confinement facility.</p> |

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| 115.22 | Policies to ensure referrals of allegations for investigations |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>Should there be an allegation of sexual abuse or sexual harassment at the confinement facility, the PREA Guidance document directs that these allegations must be reported to AFOSI for determination of who will conduct the investigation. During the preceding twelve months prior to the onsite audit visit, there were no allegations of sexual abuse or sexual harassment made at the facility. Therefore, there were no forensic examinations or investigations conducted.</p> <p>The 509 Security Forces Squadron (SFS) Prison Rape Elimination Act (PREA) Guidance document, dated 18 March 2022, states the following regarding investigations of sexual abuse and sexual harassment.</p> <p>7. INCIDENT RECOVERY</p> <p>7.1. Investigation of Incidents:</p> <p>7.1.1. The CF ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment. The DFC reports all allegations of sexual abuse or sexual harassment associated with the confinement facility to AFOSI.</p> <p>7.1.2. Sexual assault or sexual harassment allegations are referred for criminal investigation to AFOSI or another criminal investigative agency as AFOSI determines and are not considered administrative investigations. The facility requests relevant information from AFOSI in order to inform the confinee of the investigation outcome. The AFOSI determines if the case falls under the current Air Force purview for investigation (CRIMINAL). If the AFOSI declines, then the Security Forces Investigation (SFI) staff works the case(s) (ADMINISTRATIVE). AFOSI and SFI does not impose a higher standard than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated.</p> <p>The USAF does have a policy in place, as noted above, which outlines the components of PREA and how those are implemented in the USAF Confinement Facilities. This information is found on the agency's website at https://www.afsfc.af.mil/PREA/.</p> |

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| 115.31 | Employee training |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>All staff who are assigned to the confinement facility at Whiteman are required to complete PREA training. This facility is set up to be able to house both male and female confinees. Due to dual housing, staff are required to receive training which includes working with both males and females. Additionally, there are specific topics which must be covered in the basic training.</p> <p>This training is provided when a staff member initially begins working at the facility or would be working in B-Dock. The PREA Compliance Manager for the facility ensures that all staff have the appropriate training when they begin. She keeps an excel spreadsheet to track when a staff member is due to have their training. Staff are now required to sign the "Prison Rape Elimination Act - Staff Training For Air Force Level I Facilities Acknowledgement and Receipt" form.</p> <p>Members of leadership are required to conduct weekly unannounced rounds at the facility. The days and times are varied and there is a rotation schedule established by the Section Chief of the confinement facility. These individuals are also required to review the slide deck on PREA prior to conducting any type of rounds in the facility. The staff provided a copy of the documentation of "Unannounced PREA Visits" which documents the date, time, staff, shift and flight that those rounds were conducted.</p> <p>The PREA Guidance document includes a section pertaining to the training of staff members. It contains the following information.</p> <p>8. STAFF TRAINING AND EDUCATION. The 509 SFS is committed to communicating to the confinees at its facility and to its staff the following information through the training, education and orientation programs described in this section.</p> <p>8.1. Staff Training: The PREA Compliance Manager ensure all staff members who have contact with confinees are trained on:</p> <ul style="list-style-type: none"> • <i>Its zero-tolerance policy for sexual abuse, sexual harassment and retaliation</i> • <i>How to fulfill their responsibilities regarding prevention, detection, reporting, and response to sexual abuse and sexual harassment</i> • <i>Confinees' right to be free from sexual abuse and sexual harassment</i> • <i>The right of confinees and employees to be free from retaliation for reporting sexual abuse and sexual harassment</i> • <i>The dynamics of sexual abuse and sexual harassment in confinement</i> • <i>The common reactions of sexual abuse and sexual harassment victims</i> • <i>How to detect and respond to signs of threatened and actual sexual</i> |

abuse

- ***How to avoid inappropriate relationships with confines***
- ***How to communicate effectively and professionally with confines, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming confines***
- ***How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities***

8.1.1. Confinement staff should be trained in how to conduct cross-gender pat-down searches and how to conduct searches of transgender and intersex confines in a professional and respectful manner and in the least intrusive manner possible consistent with security needs.

8.1.2. All current employees are to receive this training and the Confinement NCOIC ensures refresher training is completed every two years to ensure that all staff know the agency's current sexual abuse and sexual harassment policies and procedures.

8.1.3. The CF documents and tracks the training through signature on the PREA Staff Training Acknowledgement.

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| 115.32 | Volunteer and contractor training |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>As with almost all confinement facilities currently, volunteers and contractors have not been able to come into facilities to provide services to confinees. When a contractor or volunteer do come into the facility, those individuals are provided with basic information about PREA including what it is, how to report and who to report to in the facility. They are required to sign off that they received the information and understood it.</p> <p>However, since the facility has not had any confinees in the previous twelve months to the onsite audit visit, they have not had volunteers in the facility.</p> |

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| 115.33 | Inmate education |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The information provided as part of this interview with staff are based on their best knowledge as there have not been any confines in the facility in the preceding twelve months to this onsite audit and the NCOIC has never processed anyone into the facility.</p> <p>Any confinee that is admitted to Whiteman must receive information on the facility and that must include PREA information. All confinees who enter the facility are provided with a facility rulebook. This is given to confinees as soon as they enter the facility. As the confinee walks from the initial entrance to the main building, they are provided with PREA information, according to the interviews conducted onsite. According to staff interviews, they do not enter the facility without receiving some basic information regarding PREA. Very soon after the confinee is taken into the main building at arrival, they are required to watch a PREA educational video. This video, "PREA: What You Need to Know" is approximately 16 minutes long and was produced by Just Detention International. The confinee indicated that he was required to sign that he watched and understood the video on PREA.</p> <p>At the time just prior to or just after watching the PREA video, the confinee is asked the questions on the initial risk assessment. That form is kept in the confinee's CTF. The confinee had been there long enough to require that those questions be asked again. This is also kept in the confinee's CTF.</p> <p>In addition to the facility rulebook, which contains PREA information, watching the video, and answering the 72-hour required risk assessment, there are a number of posters/signs throughout the facility in regard to how to report or talk to someone about PREA. The confinee indicated during his interview that he is well educated about PREA and what he can do to report any sexual abuse or sexual harassment.</p> <p>The PREA Guidance document for the facility also provides direction for the staff and facility. It reads as follows.</p> <p>8.4. Confinnee Orientation and Training:</p> <p>8.4.1. As part of the intake, the CF provides comprehensive education to confinees through video regarding their rights to be free from sexual abuse and sexual harassment and to be free from retaliation for reporting such incidents, and the CF's policies and procedures for responding to such incidents</p> <p>8.4.2. Current confinees are to receive education upon transfer from a different facility to the extent that the policies and procedures of the confinee's new facility differ from those of the previous facility.</p> <p>8.4.3. The CF documents and tracks the training through signature on the</p> |

PREA Confinee Training Acknowledgement and Receipt document.

8.4.4. In addition to providing such education, the CF ensures that key information is continuously and readily available or visible to confinees through posters, confinee handbooks, or other written formats.

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| 115.34 | Specialized training: Investigations |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>No staff members who work in the confinement facility conduct any type of PREA investigations, criminal or administrative. Therefore, no staff members are required to participate in this specialized training.</p> <p>Investigations of sexual abuse in this confinement facility are conducted by the Air Force Office of Special Investigations (AFOSI). This office is a federal law enforcement agency which conducts criminal investigations. This office is not only tasked with investigating sexual abuse, but any type of crime on Air Force Bases. Should AFOSI determine that the allegation does not meet criminal standards, it will evaluate whether there are administrative charges that should be brought against the confinee. AFOSI is not part of the confinement facility. Therefore, no PREA specialized investigations training is required of the investigators.</p> |

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| 115.35 | Specialized training: Medical and mental health care |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>As noted earlier in this report, Whiteman CF does not employ any medical or mental health staff members, either full or part time. If a medical or mental health need arises while a confinee is housed at the facility, the staff members will reach out to the medical and mental health resources that are available on the base for all military personnel.</p> <p>Since these services are not offered by the confinement facility, none of the medical or mental health personnel are required to participate in the PREA specialized training.</p> |

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| 115.41 | Screening for risk of victimization and abusiveness |
| | <p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <p data-bbox="256 264 557 300">Auditor Discussion</p> <p data-bbox="256 340 1481 584">The USAF has developed a screening tool for PREA, "Risk Survey for Confiner Victimization and Abusiveness", which is utilized by all confinement facilities operated by the USAF. This form is found on the secure SF SMARTnet according to the PREA Guidance document. This form is objective and utilizes a scoring system based on how the confinee answers questions in each section. The questions in the document contain the required information according to this standard.</p> <p data-bbox="256 622 1449 904">The NCOIC completes the intake process where the risk assessment questions are asked for the first time. During interviews with staff who are directly responsible for PREA, it was that the PREA video is played first for the new reception. After viewing the video, the confinee will be asked intake questions related to PREA, including the risk assessment questions on the "Risk Survey For Confiner Victimization and Abusiveness" . This form was provided to this Auditor for review prior to the onsite visit to the facility.</p> <p data-bbox="256 943 1477 1144">Copies of the document are kept in the Correctional Treatment File (CTF). The form will indicate if the confinee has a potential to be victimized or to be abusive to other confinees. Confiner are also advised they do not have to answer all of the questions, and they will not be punished for refusing to do so, according to the PREA Guidance document.</p> <p data-bbox="256 1182 1469 1344">The same risk assessment form is used for the second required risk assessment. This assessment is conducted within the first 30 days of the confinee entering the correctional facility. The NCOIC tracks the time the confinee has been at the facility to ensure that it is completed by the 30 day requirement.</p> <p data-bbox="256 1382 1481 1626">Staff did indicate if there was a situation where additional information was provided that revealed there may be other sexual violence in the confinee's background, another risk assessment would be conducted. In addition, if there was an allegation of sexual abuse, this same form would be used to reassess the involved confinees. However, at the time of the onsite audit visit there has not been a need to conduct additional assessments on any confinees.</p> <p data-bbox="256 1664 1442 1738">The facility staff provided copies of the reviewed risk assessment documentation to this Auditor as part of the verification for this audit.</p> <p data-bbox="256 1776 1481 1895">Unfortunately at the time of the onsite audit, there were no confinees being housed in the facility, therefore no confinee interviews could be conducted to reconfirm this information.</p> <p data-bbox="256 1933 1442 2007">The PREA Guidance document provides the following information regarding the risk assessment.</p> <p data-bbox="256 2045 708 2080">4.8. Screening of Confiner:</p> |

4.8.1. Within 72-hours of in-processing, screen the confinee using the “Risk Survey for Confinee Victimization and Abusiveness”. This survey is meant to assist in determining potential risk “to become” a victim or “to become” an abuser. Take no disciplinary action for failure to cooperate with the assessment, specifically questions involving; sexual orientation, self-identified gender, previous sexual victim, or their perception of vulnerability. When necessary for staff assessment, obtain other screening answers by researching personnel records and/or criminal records, and by mere observation (e.g., size, body build, etc.).

4.8.2. If the staff determines the confinee has, 1) experienced prior sexual victimization in a previous confinement facility, or in an institution or in the community or, 2) has previously perpetrated sexual abuse in a previous confinement facility, or in an institution or in the community then offer to schedule a follow up meeting with a medical or mental health practitioner within 14 days of the initial screening. Place the screening document and note the acceptance/declination of the offer in the Correctional Treatment File (CTF). Subsequently, include in the CTF the medical or mental health practitioner recommendations relative to treatment plans, management decisions for housing, and work outlets programs.

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| 115.42 | Use of screening information |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The staff utilize information gained from the risk assessment to assist with placements of confinees. The NCOIC looks at the assessment to ensure that if there is more than one confinee at a time, there is a separation if there is direction from the assessment indicating the confinee is a potential victim or a potential abuser. If this is the case, the facility has the ability to separate confinees in separate housing units.</p> <p>Due to the fact that there is usually only one confinee in the facility at a time, the other elements of work, programming and education typically don't apply. And if there is more than one individual at a time, work, education and programming may typically be done with their own squadron, depending on the confinee's superior.</p> |

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| 115.43 | Protective Custody |
| | Auditor Overall Determination: Meets Standard |
| | <p data-bbox="256 262 558 293">Auditor Discussion</p> <p data-bbox="256 338 1453 582">The confinement facility at Whiteman CF has a policy which indicates that confinees at high risk for sexual victimization shall not be placed in involuntary segregated housing unless no other alternative placements are available. The facility does have segregation cells should protective custody measures be needed. Due to the small number of confinees held at any given time over the preceding twelve months, the staff have been able to separate confinees appropriately when needed.</p> <p data-bbox="256 620 1414 694">The PREA Guidance document for Whiteman CF addresses this type of placement specifically. It reads as follows.</p> <p data-bbox="256 732 670 763">4.9.2. Protective Custody.</p> <p data-bbox="256 801 1414 963">4.9.2.1. Confinees at high risk for sexual victimization are not placed in involuntary segregated housing unless the CF has assessed all available alternatives and has determined that there is no available alternative means of separation from likely abusers.</p> <p data-bbox="256 1001 1469 1162">4.9.2.2. If the facility restricts access to programs, privileges, education, or work opportunities, it documents in the blotter the opportunities that have been limited, the duration of the limitation, and reasons for such limitations.</p> <p data-bbox="256 1200 1469 1361">4.9.2.3. If an involuntary segregated housing assignment is made pursuant to this section, the facility clearly documents the basis for the facility's concern for the confinee's safety and the reason why no alternative means of separation can be arranged.</p> <p data-bbox="256 1400 1481 1561">4.9.2.4. The facility assigns such confinees to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged. Such an assignment shall not ordinarily exceed a period of 30 days.</p> <p data-bbox="256 1599 1430 1715">4.9.2.5. Every 30 days, the facility affords each such confinee a review to determine whether there is a continuing need for separation from the general population.</p> |

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| 115.51 | Inmate reporting |
| | <p data-bbox="256 188 983 221">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 297">Auditor Discussion</p> <p data-bbox="256 340 1465 584">The confinement facility at the Whiteman provides multiple methods for confinees to report sexual abuse and sexual harassment. In addition to confinee reporting, the facility also provides opportunities for staff to make reports of sexual abuse. During interviews with staff members, including higher level staff who do not work in the confinement facility, but are responsible for the operation of the facility, were able to provide several options which confinees can use to make a report.</p> <p data-bbox="256 622 1342 696">All interviewees were able to list multiple methods of reporting including the following.</p> <ul data-bbox="331 766 1058 925" style="list-style-type: none"> • Tell a staff member • Make a written report • Call the PREA hotline • Talk with a mental health or medical professional <p data-bbox="256 965 1437 1039">The staff members were clear that they must accept any reports, verbal, written or anonymous.</p> <p data-bbox="256 1077 1385 1151">The PREA Guidance document for the facility provides the following information regarding reporting sexual abuse and sexual harassment.</p> <p data-bbox="256 1189 1238 1223">5. REPORTING ALLEGATIONS OF SEXUAL ABUSE/HARASSMENT</p> <p data-bbox="256 1261 647 1294">5.1. Confinee Reporting:</p> <p data-bbox="256 1332 1481 1536">5.1.1. The CF provides multiple internal ways for confinees to privately report sexual abuse and sexual harassment, retaliation by other confinees or staff for reporting sexual abuse or sexual harassment, and staff neglect that may have contributed to such incidents. Confinees may report concerns by:</p> <ul data-bbox="331 1606 1469 2011" style="list-style-type: none"> • Report to any confinement staff member. Staff accepts reports made verbally, in writing, and anonymously. Staff promptly documents any verbal reports. • Report to any medical or mental health staff. • Report to the PREA Compliance Manager or utilize the confidential PREA complaint box located in the facility. • Submit a grievance or sick call slip to speak to a medical/mental health provider. • Call the Sexual Assault Prevention and Response Office at 660-687-7272 or 24/7 at 660-687-2324. <p data-bbox="256 2051 1445 2085">5.1.2. The CF also provides at least one way for confinees to report abuse,</p> |

harassment, retaliation, and staff neglect to a public or private entity that is not part of the agency and that is able to receive and immediately forward confinee reports of sexual abuse and sexual harassment to agency officials, allowing the confinee to remain anonymous upon request.

Confinees may report concerns by:

5.1.3. Utilizing available direct dial line to SAPR, DoD Help Line, Chaplain, or an available ADC during duty hours located within the confinement facility.

5.1.4. Confinee Grievances

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| 115.52 | Exhaustion of administrative remedies |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>As with all Air Force confinement facilities, Whiteman also has a grievance process established which includes the elements of the PREA standards. While interviewing staff, they indicated there had been no grievances filed regarding sexual abuse in the previous twelve months to the onsite audit visit.</p> <p>During the interview with both confinees, he indicated that he knew about the grievance policy but did not find it necessary to utilize this process. The PREA Guidance document provides the following information regarding the grievance process.</p> <p>5.1.4. Confinee Grievances.</p> <p>5.1.5. The CF shall not impose a time limit on when a confinee may submit a grievance regarding an allegation of sexual abuse.</p> <p>5.1.6. The CF shall not require a confinee to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse.</p> <p>5.1.7. The CF ensures that a confinee who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint.</p> <p>5.1.8. The DFC issues a final decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance. Computation of the 90-day time period does not include time consumed by confinees in preparing any administrative appeal.</p> <p>5.1.9. The DFC may claim an extension of time to respond, of up to 70 days, if the normal time period for response is insufficient to make an appropriate decision. The DFC notifies the confinee in writing of any such extension and provide a date by which a decision shall be made.</p> <p>5.1.3.1. At any level of the administrative process, including the final level, if the confinee does not receive a response within the time allotted for reply, including any properly noticed extension, the confinee may consider the absence of a response to be a denial at that level.</p> <p>5.1.3.2. Through a Discipline and Adjustments Board, the DFC may discipline the petitioner for filing a grievance related to alleged sexual abuse only where the agency demonstrates that the confinee filed the grievance in bad faith.</p> |

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| 115.53 | Inmate access to outside confidential support services |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>If there is an allegation of sexual abuse or sexual harassment at the confinement facility, it is one of the responsibilities of the NCOIC to ensure that the alleged victim has access to all the resources of the Sexual Assault Prevention and Response Office (SAPRO). The staff of the SAPRO is responsible for providing initial crisis intervention services to those who are victims of sexual abuse or assault. The staff are able to accompany a victim to the hospital to provide support through the forensic examination. They are also able to assist by accompanying a victim to talk with law enforcement.</p> <p>Once the initial crisis intervention services have been provided to the victim, the staff will make a referral to either the mental health department on the base or to the local rape crisis center in the community. The staff member indicated there are victims who choose to go to the community-based rape crisis center for services for additional privacy.</p> |

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| 115.54 | Third-party reporting |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The USAF provides reporting information to the general public via its website at https://www.afsfc.af.mil/PREA. The DoD Safe Helpline information is displayed prominently at the top of the PREA information page. The phone number is toll free, worldwide and operates 24/7. Continuing down the page, additional information is provided for any individual wishing to make a report about the specific information to provide during the reporting process if possible, such as, “name of confinement, of incident, name of victim(s), witnesses, perpetrators, date/time of incident, and any additional details.”</p> <p>Additionally, on the webpage, there is a link to a “Prison Rape Elimination Act (PREA) Third Party Incident Reporting Form”. This form can be downloaded and filled out. It contains instructions at the bottom of the form to email it or snail mail it to the PREA Coordinator of the Air Force. Phone numbers for the DoD Safe Helpline and Security Forces Center Operations Center are also listed on this form.</p> |

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| 115.61 | Staff and agency reporting duties |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>Staff who were interviewed during the onsite audit were knowledgeable about the steps they are required to take should a confinee make an allegation of sexual abuse. All staff were clear they would need to immediately separate the two confinees or the confinee and staff member, ensure that evidence is not destroyed and notify their superior officer.</p> <p>Staff at the confinement facility were able to articulate that they understood their roles as mandated reporters. So, in essence, they are required to report any sexual abuse or sexual harassment they learn about which occurred in a confinement facility.</p> <p>Additionally, the staff were clear that any information they have regarding allegations of sexual abuse is not to be shared unless it is to talk with an investigator or other professional involved in the investigation or treatment of the victim.</p> <p>There is information in the PREA Guidance document regarding reporting requirements. The document states the following from two separate sections.</p> |

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| 115.62 | Agency protection duties |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>Through review of information and interviews with all levels of staff, it was clear that it is not the policy of Whiteman to place someone into segregation because of the sole purpose that they may become a victim. Nor would it be the first choice of staff members to place a confinee in segregation for the sole reason of being involved in a PREA allegation. There are multiple options to place an alleged victim in should a situation arise.</p> <p>Each staff member responded appropriately when asked how they would handle a situation where they learn that one of the other confinees is in imminent danger. Each indicated that they would keep the alleged victim with them and contact their superior officer to get further directions. If the segregation cells were not in use, it would be an option to place one of the inmates there until the situation can be sorted out.</p> |

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| 115.63 | <p data-bbox="240 91 1503 1686">Reporting to other confinement facilities</p> <p data-bbox="240 168 1503 241">Auditor Overall Determination: Meets Standard</p> <p data-bbox="240 250 1503 324">Auditor Discussion</p> <p data-bbox="240 333 1503 504">Whiteman has a process established by policy to ensure that all allegations regarding sexual abuse at another facility is referred to that facility within the required (72) hour time frame. The PREA Guidance document provides direction to staff regarding how to handle this information.</p> <p data-bbox="240 535 1503 705">7.5.1. Upon the CF receiving an allegation that a confinee was sexually abused while confined at another facility, within 72-hours, the DFC will either, 1) notify the head of the other facility of the allegation or, 2) notify the appropriate investigating agency.</p> <p data-bbox="240 736 1503 853">7.5.2. In either case, document the notification, as appropriate.) Instruct staff how to assist confinee(s) in gaining access to care and support services.</p> <p data-bbox="240 884 1503 965">7.5.3. If staff believes a confinee is subject to a substantial risk of imminent sexual abuse, take immediate action to protect the confinee.</p> <p data-bbox="240 996 1503 1162">7.5.4. Once staff has reported this to the proper investigating office, they do not disclose, other than to the official extent necessary, any of this information except when necessary to make decisions concerning treatment, investigation and other security and management decisions.</p> <p data-bbox="240 1193 1503 1359">In the pre-audit information, the facility provided an MOU between Whiteman AFB and McConnell AFB for housing confinees from the other facility. This MOU explicitly discusses the requirement to ensure that any allegations made regarding the other facility.</p> <p data-bbox="240 1391 1503 1556">Staff interviewed were also aware of the requirement to ensure that allegations made about other facilities are reported to those facilities and if a report is made about sexual abuse at Whiteman, the staff are required to ensure that an investigation is conducted.</p> <p data-bbox="240 1588 1503 1668">At the time of onsite audit visit, the facility had not received any allegations about Whiteman or had to report any allegations to other facilities.</p> |
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| 115.64 | Staff first responder duties |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>Staff who were interviewed during the onsite audit visit were able to clearly articulate the initial actions they are required to take should they receive an allegation of sexual abuse at the facility. The staff were clear about those responsibilities, even though there have been no allegations at the facility in the preceding twelve months to the onsite audit.</p> <p>The 509th PREA Guidance document provides the following information regarding the immediate steps to be taken when an allegation is received.</p> <p>6.2. Immediate Steps After Receiving a Report: When a confinement staff first-responder learns that a confinee has been sexually abused, immediate action is taken to protect the confinee. The PREA Response Checklist is initiated immediately. Non-confinement staff first-responders notify staff of sexual abuse allegations. The confinement staff first-responder actions include:</p> <p>6.2.1. Separate the confinee from the alleged perpetrator.</p> <p>6.2.2. Preserve and protect any crime scene until appropriate steps can be taken to collect evidence.</p> <p>6.2.3. If the abuse occurred within a time period that still allows for the collection of physical evidence, request that the alleged victim—and ensure that the alleged abuser—not take any actions that could destroy physical evidence, including washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating.</p> <p>6.2.4. Confinement staff first responders immediately notify AFOSI and the appropriate medical and mental health practitioners.</p> <p>6.2.5. The PREA Compliance Manager CF forwards a copy of the PREA Response Checklist promptly upon completion to the AFSFC PREA Coordinator at afsfc.sfcv.1@us.af.mil</p> |

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| 115.65 | Coordinated response |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The PREA Guidance document has been mentioned numerous times throughout this report. This document serves as the Coordinated Response to Report of an Incident of sexual abuse or sexual harassment. The policy state states the following.</p> <p>6.1. Coordinated Response to a Report of an Incident: To ensure that the victim receives the best possible care and that investigators have the best chance of identifying and charging the perpetrator, the CF shall coordinate with the Air Force Office of Special Investigations (AFOSI), medical health staff, victim advocates, and a Sexual Assault Forensic Examiner (SAFE) or a Sexual Assault Nurse Examiner (SANE) for the following actions:</p> <ul style="list-style-type: none"> • Assessing the victim’s acute medical needs • Explaining the need for a forensic medical exam and offering the victim the option of undergoing one • Offering the presence of a victim advocate or a qualified staff member during the exam • Providing crisis intervention counseling • Interviewing the victim and any witnesses • Collecting evidence • Providing for any special needs the victim may have <p>During the interview process, the staff members were able to discuss the plan for responding to sexual abuse and sexual harassment.</p> |

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| 115.66 | Preservation of ability to protect inmates from contact with abusers |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | The United States Air Force Security Forces does not have a union or other collective bargaining working within the system. |

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| 115.67 | Agency protection against retaliation |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>All staff were able to discuss what this standard means and how to provide protection from retaliation, should it be necessary. The PREA Guidance document provides direction for staff to follow as there is rarely an instance to use this standard.</p> <p>The PREA Guidance document states the following.</p> <p>7.3. Protection of Confinees from Retaliation:</p> <p>7.3.1. 509 SFS policy is to protect all confinees and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other confinees or staff.</p> <p>7.3.2. The CF employs multiple protection measures, such as housing changes or transfers for confinee victims or abusers, removal of alleged staff or confinee abusers from contact with victims, and emotional support services for confinees or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations.</p> <p>7.3.3. For at least 90 days following a report of sexual abuse, the Confinement NCOIC and PREA Compliance Manager must monitor the conduct and treatment of confinees or staff who reported sexual abuse, and of confinees who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by confinees or staff, and acts promptly to remedy any such retaliation. Monitoring may go beyond 90 days if needed. Monitoring includes:</p> <ul style="list-style-type: none"> • Periodic in-person conversations with confinees and/or staff • Review of disciplinary incidents involving confines • Review of housing or program changes • Review of negative performance reviews or reassignments of staff • Periodic in-person conversations with confinees and/or staff • Review of disciplinary incidents involving confinees • Review of housing or program changes |

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| 115.68 | Post-allegation protective custody |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>As noted in PREA Standard §115.43, Whiteman only utilizes involuntary protective custody when no other options are available. However, the facility has not had to implement this situation during the audit timeframe.</p> <p>Information is included in the PREA Guidance document and is included below.</p> <p>4.9.2. Protective Custody.</p> <p>4.9.2.1. <i>Confinees at high risk for sexual victimization are not placed in involuntary segregated housing unless the CF has assessed all available alternatives and has determined that there is no available alternative means of separation from likely abusers.</i></p> <p>4.9.2.2. <i>If the facility restricts access to programs, privileges, education, or work opportunities, it documents in the blotter the opportunities that have been limited, the duration of the limitation, and reasons for such limitations.</i></p> <p>4.9.2.3. <i>If an involuntary segregated housing assignment is made pursuant to this section, the facility clearly documents the basis for the facility's concern for the confinee's safety and the reason why no alternative means of separation can be arranged.</i></p> <p>4.9.2.4. <i>The facility assigns such confinees to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged. Such an assignment shall not ordinarily exceed a period of 30 days.</i></p> <p>4.9.2.5. <i>Every 30 days, the facility affords each such confinee a review to determine whether there is a continuing need for separation from the general population.</i></p> |

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| 115.71 | <p data-bbox="240 91 1503 1751">Criminal and administrative agency investigations</p> <p data-bbox="240 174 1503 241">Auditor Overall Determination: Meets Standard</p> <p data-bbox="240 248 1503 315">Auditor Discussion</p> <p data-bbox="240 322 1503 629">Staff members assigned to the Whiteman CF are not trained as investigators and do not conduct administrative or criminal investigations. All allegations, even third party and anonymous reports, are referred to the Air Force Office of Special Investigations (AFOSI) for investigation. AFOSI is responsible for determining if the situation rises to the level of being a criminal activity. The investigators in the office will make the determination of what entity will conduct the investigation into the allegation, AFOSI or officers in the Special Forces Squadron (SFS).</p> <p data-bbox="240 636 1503 853">Neither of the offices of the Special Forces Squadron or Air Force Office of Special Investigations fall under the prevue of the confinement facility. Therefore, neither of the departments are required to provide the specialized investigations training to the investigators who may be assigned to investigate sexual abuse or sexual harassmt at the confinement facility as required under standard 115.34.</p> <p data-bbox="240 860 1503 1010">The PREA Guidance document provided by the facility in the pre-audit phase discusses investigations into allegations from confinees at the facility. The document states the following regarding investigation of these types of allegations.</p> <p data-bbox="240 1016 1503 1084">7.1. Investigation of Incidents:</p> <p data-bbox="240 1090 1503 1285">7.1.1. The CF ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassmt. The DFC reports all allegations of sexual abuse or sexual harassmt associated with the confinement facility to AFOSI.</p> <p data-bbox="240 1292 1503 1733">7.1.2. Sexual assault or sexual harassmt allegations are referred for criminal investigation to AFOSI or another criminal investigative agency as AFOSI determines and are not considered administrative investigations. The facility request relevant information from AFOSI in order to inform the confinee of the investigation outcome. The AFOSI determines if the case falls under the current Air Force purview for investigation (CRIMINAL). If the AFOSI declines, then the Security Forces Investigation (SFI) staff works the case(s) (ADMINISTRATIVE). AFOSI and SFI does not impose a higher standard than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassmt are substantiated.</p> |
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| 115.72 | Evidentiary standard for administrative investigations |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>As noted elsewhere in this report, staff at the confinement facility do not conduct administrative investigations. These investigations are completed either by AFOSI or Special Forces Investigators (SFI). Whiteman's PREA Guidance document directs staff to ensure that each allegation of sexual abuse and sexual harassment are investigated. The policy reads as follows.</p> <p><i>7.1.2. Sexual assault or sexual harassment allegations are referred for criminal investigation to AFOSI or another criminal investigative agency as AFOSI determines and are not considered administrative investigations. The facility request relevant information from AFOSI in order to inform the confinee of the investigation outcome. The AFOSI determines if the case falls under the current Air Force purview for investigation (CRIMINAL). If the AFOSI declines, then the Security Forces Investigation (SFI) staff works the case(s) (ADMINISTRATIVE). AFOSI and SFI does not impose a higher standard than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated.</i></p> |

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| 115.73 | Reporting to inmates |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>Should the facility have an allegation of sexual abuse and be investigated, the staff at the facility will keep in touch with the investigators and provide notification to the confinee regarding the outcome of the investigation. There have been no investigations in the preceding twelve months. The PREA Guidance document this item. It reads as follows.</p> <p><i>7.1.3. If a staff member is the accused,(unless the allegation is unfounded) the facility informs the accuser when; the staff member is no longer posted in the confinement facility, pending criminal charges, and any convictions relative to this sexual abuse allegation and documents all notifications in the CTF.</i></p> <p><i>7.1.4. If another confinee is the accused, the facility informs the accuser when the accused has been charged and results of the trial relative to this sexual abuse allegation. The facility's obligation to report this information under PREA terminates if the accused is transferred/released from the facility outside of the Air Force or released from the facility.</i></p> |

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| 115.76 | Disciplinary sanctions for staff |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The United States Air Force does not tolerate abuse of confinees by staff members or other confinees. All staff member interviews, including the national level staff members, were clear regarding this policy.</p> <p>All PREA information provided to a confinee at the facility includes a statement clearly identifying the Zero Tolerance Policy relating to sexual abuse and sexual harassment, regardless of who the abuser is.</p> <p>The PREA Guidance document provided for Whiteman CF is clear in regard to the policies which would apply should an allegation of abuse perpetrated by a staff member. It also identifies the disciplinary actions which could be taken if that situation were to occur. The PREA Guidance document provides the following information regarding sanctions for staff who are identified as the abuser in a substantiated investigation.</p> <p>The 509 PREA Guidance document provides the following guidance.</p> <p>7.4. Sanctions against Sexual Abusers When Allegations are Substantiated:</p> <p>7.4.1. Disciplinary Sanctions for Staff:</p> <p>7.4.1.1. Staff are subject to disciplinary actions for violating Air Force sexual abuse or sexual harassment policies.</p> <p>7.4.1.2. Disciplinary actions taken for any staff member are IAW Air Force policy, DoD policy, Military Law, the Uniform Code of Military Justice (UCMJ), and Federal Law.</p> |

| 115.77 | Corrective action for contractors and volunteers |
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| | <p data-bbox="256 188 986 224">Auditor Overall Determination: Meets Standard</p> <p data-bbox="256 264 560 300">Auditor Discussion</p> <p data-bbox="256 340 1481 542">As with most correctional facilities throughout the time of COVID-19, Whiteman CF did not have volunteers in the facility. Additionally, there were very few contractors that were permitted into the facility during this timeframe. Even prior to that, there were extremely few volunteers or contractors who were providing services to the confinees.</p> <p data-bbox="256 577 1465 739">Those individuals that did come to the confinement facility were members of the base, either military personnel or civilian staff members, providing a service to those confined inside the facility. Examples of these people would be the chaplain, mental health or medical personnel.</p> <p data-bbox="256 775 1474 1021">Through interviews with staff, it was clear that if a contractor or volunteer would abuse a confinee, that individual's access to the facility would immediately be revoked. That information would immediately be sent to AFOSI, just the same as with any other allegation of sexual abuse, for investigation. As noted earlier in this report, AFOSI is the entity which is responsible for investigating sexual abuse at confinement facilities in the military.</p> <p data-bbox="256 1057 1430 1173">As with any other sexual abuse and/or sexual harassment investigation, if the investigation determines that the incident rises to the level of criminality, it will be forwarded to the local prosecutor for further review and potential prosecution.</p> |

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| 115.78 | Disciplinary sanctions for inmates |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>Should an allegation and investigation of sexual abuse or sexual harassment result in a substantiated allegation against a confinee, the USAF has put policies in place identifying sanctions that may be imposed for that confinee. All staff who were interviewed as part of this audit were able to articulate that the USAF has policies in place should there be a substantiated outcome of an investigation. Although some staff members were not sure of the exact policy, all staff knew that they would find this information in the PREA Guidance document. Information may also be found in the DAFMAN 31-115V1.</p> <p>Regarding the discipline of confinees who are determined to have committed sexual abuse, the PREA Guidance document outlines the following in terms of sanctions.</p> <p>7.4.2. Disciplinary Sanctions for Confinees:</p> <p>7.4.2.1. Confinees are subject to disciplinary actions pursuant to a formal disciplinary process following an administrative finding that the confinee engaged in confinee-on- confinee sexual abuse or following a criminal finding of guilt for confinee-on-confinee sexual abuse.</p> <p>7.4.2.2. Disciplinary actions taken for any confinee are IAW Air Force policy, DoD policy, military law and the Uniform Code of Military Justice (UCMJ).</p> <p>7.4.2.3. The disciplinary process shall consider whether an inmate’s mental disabilities or mental illness contributed to his or her behavior when determining what type of sanction, if any, should be imposed.</p> <p>7.4.2.4. If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, the facility shall consider whether to require the offending inmate to participate in such interventions as a condition of access to programming or other benefits.</p> <p>7.4.2.5. The agency may discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact.</p> <p>7.4.2.6. For the purpose of disciplinary action, a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred shall not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation.</p> |

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| 115.81 | Medical and mental health screenings; history of sexual abuse |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>Medical and mental health staff are not employed directly by the confinement facility at Davis Monthan. These resources are available on the base and can be accessed in the event of an emergency or request of services. The base has a medical department, however it is not a 24/7 operation. Therefore, if a confinee requires medical services after the hours of operation of the medical services on base, they would be taken to a local hospital.</p> <p>The same is true for mental health services on base. They are available, however, there are no mental health practitioners employed specifically by the confinement facility. Should a confinee request mental health services, they will either go to the mental health department offices on base, or the mental health staff will come to the confinement facility. There is a room where services are able to be provided without the confinement facility staff being able to monitor the interaction with audio.</p> |

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| 115.82 | Access to emergency medical and mental health services |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | As noted in standard 115.81, there are no medical or mental health staff employed directly by the confinement facility. |

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| 115.83 | Ongoing medical and mental health care for sexual abuse victims and abusers |
| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>As noted earlier in this report, this facility does not employ medical nor mental health professionals. However, the confinee has full access to the medical center services offered on the base. Should there be medical treatment that the medical center on base does not offer, the confinee will be taken to the community medical center.</p> <p>If the confinee would go to a community medical center to have a forensic medical examination performed, follow up services provided as part of the release instructions will be provided to the on base medical center to be completed.</p> <p>If a female confinee would become pregnant due to sexual abuse at the confinement facility, the victim would be provided information on all pregnancy related options either by the on base medical facility or by the local hospital where the forensic medical examination was conducted.</p> <p>Again, the facility does not directly employ mental health professionals. However, the confinee will have full access to the mental health services available on base, or they may choose to access mental health services from the community.</p> <p>The PREA Guidance document is clear that victims of sexual abuse in the facility will not be financially responsible for any medical or mental health services they access as a result of sexual abuse in the confinement facility.</p> <p>Based on document review and staff interviews, it is clear that confinees have full access to services that they would normally have as an active military person either on base or in the community.</p> |

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| 115.86 | Sexual abuse incident reviews |
| | <p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 558 300">Auditor Discussion</p> <p data-bbox="256 340 1404 497">At the time of the onsite audit visit and over the preceding twelve months, Davis Monthan CF has not had any allegations of sexual abuse or sexual harassment. Therefore, no investigations have occurred and consequently no Sexual Abuse Incident</p> <p data-bbox="256 537 1385 613">Staff were clear that should an investigation occur at the facility, they would be required to conduct a SAIR after the conclusion of the investigation.</p> <p data-bbox="256 654 1455 936">The agency level PREA Coordinator has developed a template/form (Security Forces PREA Sexual Abuse Incident Review Checklist) for the facility to utilize when conducting these reviews. When questioned, the staff knew where to find the document and they also stated that they would follow the PREA Guidance document to ensure that all steps were followed. Staff also indicated that if they had any questions, the PREA Coordinator is always available to answer any questions they have.</p> <p data-bbox="256 976 1315 1012">The PREA Guidance document outlines the process for the SAIR as follows.</p> <p data-bbox="256 1048 833 1084">7.2. Sexual Abuse Incident Reviews:</p> <p data-bbox="256 1120 1455 1276">7.2.1. The DFC ensures a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded.</p> <p data-bbox="256 1317 1324 1393">7.2.2. The review will occur within 30 days of the conclusion of the investigation.</p> <p data-bbox="256 1433 1484 1590">7.2.3. The review team will be led by the DFCs designated rep and consist of the Confinement Officer, Confinement NCOIC, PREA Compliance Manager and the Logistics Superintendent, with input from investigators and medical or mental health practitioners. The review team's actions include:</p> <p data-bbox="256 1630 1484 1747">7.2.3.1. Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse.</p> <p data-bbox="256 1787 1484 1944">7.2.3.2. Consider whether the incident or allegation was motivated by race, ethnicity, gender identity, lesbian, gay, bisexual, transgender, intersex identification, status, perceived status, gang affiliation, or was motivated or otherwise caused by other group dynamics at the facility.</p> <p data-bbox="256 1984 1468 2060">7.2.3.3. Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse.</p> |

7.2.3.4. Assess the adequacy of staffing levels in that area during different shifts.

7.2.3.5. The CF implements the review team's recommendations for improvement, or document its reasons for not doing so.

7.2.3.6. Prepare and promptly forward all incident reports, investigation reports and sexual abuse incident reviews to the DFC, and the Air Force PREA Coordinator at the AFSFC to provide the data necessary to complete various Air Force level annual reports for the Department of Justice.

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| 115.87 | Data collection |
| | <p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 300">Auditor Discussion</p> <p data-bbox="256 340 1477 582">The facility PREA Compliance Manager (PCM) has the responsibility to ensure that all relevant documentation is provided to the Air Force PREA Coordinator for inclusion in the annual report which must be filed each year. The PCM or NCOIC is to utilize the standardized PREA Response Checklist located on the SF SMARTnet to ensure that all supporting documentation is provided to the PREA Coordinator at the conclusion of any and all sexual abuse incident reviews.</p> <p data-bbox="256 622 1477 824">Outside of these reviews, sexual abuse data is submitted once a year to the Air Force PREA Coordinator in order for him to complete the Survey of Sexual Violence (SSV) requested by the Department of Justice. This aggregate data is collected on a standardized template located on the SF SMARTnet. The template contains the following questions to be answered by each facility.</p> <ul data-bbox="331 891 1246 1048" style="list-style-type: none"> • Confinee-on-confinee allegations of Nonconsensual Sexual Acts • Confinee-on-confinee allegations of Abusive Sexual Contact • Staff-on-confinee allegations of Staff Sexual Misconduct • Staff-on-confinee allegations of Sexual Harassment <p data-bbox="256 1088 1321 1124">The PREA Guidance document outlines this information. It reads as follows.</p> <p data-bbox="256 1164 584 1200">9.1. Data Collection:</p> <p data-bbox="256 1240 1477 1352">9.1.1. The unit PREA Compliance Manager collects accurate and uniform data for every allegation of sexual abuse at facilities under its direct control using the standardized PREA Response Checklist.</p> <p data-bbox="256 1393 1477 1550">9.1.2. The Compliance Manager aggregates the incident-based sexual abuse data at least annually and provides a copy to the PREA Coordinator at the AFSFC where it will be maintained for a period of 10 years after the date of the initial collection unless Federal, State, or local law requires otherwise.</p> <p data-bbox="256 1590 1477 1787">9.1.3. All PREA collected data from all available incident-based documents, including reports, investigation files, response checklist, and sexual abuse incident reviews are promptly sent to the AFSFC PREA Coordinator. This data will be maintained at the unit level as long as the alleged abuser is incarcerated or employed by the agency plus 5 years.</p> <p data-bbox="256 1827 1477 1899">9.1.4. The Compliance Manager completes an annual PREA report approved by the DFC with name and signature.</p> <p data-bbox="256 1939 1477 2051">9.1.5. The annual report contains the following statistics in order to answer the annual Survey of Sexual Violence conducted by the Department of Justice:</p> |

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| | <ul style="list-style-type: none">• <i>Confinee-on-Confinee allegations of Nonconsensual Sexual Acts</i>• <i>Confinee-on-Confinee allegations of Abusive Sexual Contact</i>• <i>Staff-on-Confinee allegations of Staff Sexual Misconduct</i>• <i>Staff-on-Confinee allegations of Sexual Harassment</i> |
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| 115.88 | Data review for corrective action |
| | <p data-bbox="256 188 983 221">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 557 297">Auditor Discussion</p> <p data-bbox="256 340 1474 539">It is the responsibility of the unit PCM to collect PREA data for the calendar year and prepare the report, in collaboration with senior leadership, to send to the Air Force PREA Coordinator. The PREA Guidance document provides specific direction to the confinement facility staff regarding specific data, collection of and compilation of that data.</p> <p data-bbox="256 580 1469 696">As required by this PREA standard, the United States Air Force uploads this document to the agency's website to make it viewable by the public in general. That website address is www.afsfc.af.mil/PREA/ong</p> <p data-bbox="256 734 1445 808">The PREA Guidance document provides the following guidance to the staff members.</p> <p data-bbox="256 848 876 882">9.2. Data Review for Corrective Action:</p> <p data-bbox="256 920 1385 1081">9.2.1. The Compliance Manager along with squadron senior leadership reviews all PREA data collected and aggregated in order to assess and improve the effectiveness of its sexual abuse prevention, detection, response policies, practices, and training, including by:</p> <p data-bbox="256 1120 812 1153">9.2.1.1. Identifying problem areas.</p> <p data-bbox="256 1191 1099 1225">9.2.1.2. Taking corrective action on an ongoing basis</p> <p data-bbox="256 1263 1385 1337">9.2.1.3. Preparing an annual PREA report of its findings and corrective actions for the facility</p> <p data-bbox="256 1375 1453 1491">9.2.1.4. Such reports include a comparison of the current year's data and corrective actions with those from prior years and provides an assessment of the unit's progress in addressing sexual abuse.</p> <p data-bbox="256 1529 1481 1603">9.2.1.5. The unit's report is approved by the DFC and made readily available to the public by the AFSFC at https://www.afsfc.af.mil/PREA/</p> <p data-bbox="256 1641 1458 1803">9.2.1.6. The Compliance Manager removes personal identifiers and may redact specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility, but indicates the nature of the material redacted.</p> <p data-bbox="256 1841 1433 2002">9.2.1.7. The AFSFC PREA Coordinator is the repository for all PREA data. Pursuant to 115.87, all PREA data will be maintained for at least 10 years after the date of the initial collection unless Federal, State, or local law requires otherwise.</p> |

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| 115.89 | Data storage, publication, and destruction |
| | <p data-bbox="256 188 983 224">Auditor Overall Determination: Meets Standard</p> <p data-bbox="256 264 557 300">Auditor Discussion</p> <p data-bbox="256 340 1461 497">It is clear to this Auditor that the Air Force and Air Force personnel take great care to ensure that all information regarding information related to PREA and sexual abuse and sexual harassment investigations are stored securely in a locked filing cabinet in the NCOIC's office.</p> <p data-bbox="256 537 1402 613">The USAF has a secure system to ensure that no personal information relating to PREA and confinees in the facility are available to the public.</p> <p data-bbox="256 654 1481 810">In addition, as with other USAF bases this Auditor has audited, the staff at Whiteman were very good about signing off the computer terminal they were utilizing. It was also noted that anytime a staff member sat down at a computer terminal, each had to re-enter their credentials to gain access.</p> <p data-bbox="256 851 1477 1008">Aggregated, incident-based data is maintained for a period of ten years after the date of the initial collection of the information unless Federal, State or local law requires otherwise. This policy was verified through the interview with the USAF PREA Coordinator.</p> <p data-bbox="256 1048 1453 1205">The annual report compiled by the staff at the facility was provided during the pre-audit phase. This report was reviewed and there was no identifying information included. Additionally, several agencywide PREA Annual Reports were reviewed, and none were found to have any personally identifying information included.</p> |

| 115.401 | Frequency and scope of audits |
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| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion <p>This is the third year of the audit cycle. The facility allowed this Auditor to have access to all areas of the confinement facility. Open access was given to the camera and monitoring system. Confinee files were openly shared with this Auditor. All questions were answered when asked both in confidential interviews and in the open during the tour of the facility.</p> <p>The personnel that met with this Auditor were accommodating and open to listening to recommendations which were given to the facility. Documentation was reviewed from several sources while onsite. Copies of those records were provided to this Auditor to be retained as part of the audit information as defined in the PREA Auditor Handbook.</p> <p>Confinees and staff members had the opportunity to communicate with this Auditor prior to the onsite audit visit, however, no correspondence was received. The audit notices were observed in the facility during the tour as well as photographs of these notices posted six weeks prior to the onsite visit. These notices provided the address for this Auditor and notices were displayed in English and Spanish.</p> <p>At the time of the onsite audit, there were no confinees being housed at the facility. If there had been a confinee to interview during this process, the staff would have provided adequate accommodations for an interview with the confinee. Staff interviews were conducted in a confidential setting.</p> <p>This was a positive auditing experience with few issues identified.</p> |

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| 115.403 | Audit contents and findings |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The United States Air Force has a page on the agency website which is dedicated to PREA information with links to PREA Annual Reports and PREA Final Audit Reports. The page can be found at https://www.afsfc.af.mil/PREA/. This page is where all PREA audit reports will be found for all institutions which the Air Force operates. The last PREA audit report for this facility is dated October 23, 2018. Additionally, the annual PREA report is also posted on this page as well.</p> |

| Appendix: Provision Findings | | |
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| 115.11 (a) | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator | |
| | Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment? | yes |
| | Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment? | yes |
| 115.11 (b) | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator | |
| | Has the agency employed or designated an agency-wide PREA Coordinator? | yes |
| | Is the PREA Coordinator position in the upper-level of the agency hierarchy? | yes |
| | Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities? | yes |
| 115.11 (c) | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator | |
| | If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.) | yes |
| | Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.) | yes |
| 115.12 (a) | Contracting with other entities for the confinement of inmates | |
| | If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.) | na |

| 115.12 (b) | Contracting with other entities for the confinement of inmates | |
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| | Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.) | na |

| 115.13 (a) | Supervision and monitoring | |
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| | Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift? | na |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any applicable State or local laws, regulations, or standards? | yes |
| | In calculating adequate staffing levels and determining the need | yes |

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| | for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse? | |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors? | yes |
| 115.13 (b) | Supervision and monitoring | |
| | In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.) | na |
| 115.13 (c) | Supervision and monitoring | |
| | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section? | yes |
| | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies? | yes |
| | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan? | yes |
| 115.13 (d) | Supervision and monitoring | |
| | Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment? | yes |
| | Is this policy and practice implemented for night shifts as well as day shifts? | yes |
| | Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility? | yes |

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| 115.14 (a) | Youthful inmates | |
| | Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).) | yes |
| 115.14 (b) | Youthful inmates | |
| | In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).) | yes |
| | In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).) | yes |
| 115.14 (c) | Youthful inmates | |
| | Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).) | yes |
| | Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).) | yes |
| | Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).) | yes |
| 115.15 (a) | Limits to cross-gender viewing and searches | |
| | Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners? | yes |

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| 115.15 (b) | Limits to cross-gender viewing and searches | |
| | Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.) | yes |
| | Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the facility does not have female inmates.) | yes |
| 115.15 (c) | Limits to cross-gender viewing and searches | |
| | Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches? | yes |
| | Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)? | yes |
| 115.15 (d) | Limits to cross-gender viewing and searches | |
| | Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks? | yes |
| | Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks? | yes |
| | Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit? | yes |
| 115.15 (e) | Limits to cross-gender viewing and searches | |
| | Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status? | yes |
| | If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner? | yes |

| 115.15 (f) | Limits to cross-gender viewing and searches | |
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| | Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? | yes |
| | Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? | yes |

| 115.16 (a) | Inmates with disabilities and inmates who are limited English proficient | |
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| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing? | yes |
| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision? | yes |
| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities? | yes |
| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities? | yes |
| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities? | yes |
| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.) | yes |
| | Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing? | yes |
| | Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? | yes |
| | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication | yes |

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| | with inmates with disabilities including inmates who: Have intellectual disabilities? | |
| | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills? | yes |
| | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision? | yes |
| 115.16 (b) | Inmates with disabilities and inmates who are limited English proficient | |
| | Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient? | yes |
| | Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? | yes |
| 115.16 (c) | Inmates with disabilities and inmates who are limited English proficient | |
| | Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations? | yes |

| 115.17 (a) | Hiring and promotion decisions | |
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| | Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? | yes |
| | Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? | yes |
| | Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above? | yes |
| | Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? | yes |
| | Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? | yes |
| | Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above? | yes |
| 115.17 (b) | Hiring and promotion decisions | |
| | Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates? | yes |
| | Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates? | yes |

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| 115.17 (c) | Hiring and promotion decisions | |
| | Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check? | yes |
| | Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse? | yes |
| 115.17 (d) | Hiring and promotion decisions | |
| | Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates? | yes |
| 115.17 (e) | Hiring and promotion decisions | |
| | Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees? | yes |
| 115.17 (f) | Hiring and promotion decisions | |
| | Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions? | yes |
| | Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees? | yes |
| | Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct? | yes |
| 115.17 (g) | Hiring and promotion decisions | |
| | Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination? | yes |

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| 115.17 (h) | Hiring and promotion decisions | |
| | Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.) | yes |
| 115.18 (a) | Upgrades to facilities and technologies | |
| | If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.) | na |
| 115.18 (b) | Upgrades to facilities and technologies | |
| | If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.) | na |
| 115.21 (a) | Evidence protocol and forensic medical examinations | |
| | If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) | yes |

| 115.21 (b) Evidence protocol and forensic medical examinations | | |
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| | Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) | yes |
| | Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) | yes |
| 115.21 (c) Evidence protocol and forensic medical examinations | | |
| | Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate? | yes |
| | Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible? | yes |
| | If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)? | yes |
| | Has the agency documented its efforts to provide SAFEs or SANEs? | yes |
| 115.21 (d) Evidence protocol and forensic medical examinations | | |
| | Does the agency attempt to make available to the victim a victim advocate from a rape crisis center? | yes |
| | If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.) | na |
| | Has the agency documented its efforts to secure services from rape crisis centers? | yes |

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| 115.21 (e) | Evidence protocol and forensic medical examinations | |
| | As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews? | yes |
| | As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals? | yes |
| 115.21 (f) | Evidence protocol and forensic medical examinations | |
| | If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.) | na |
| 115.21 (h) | Evidence protocol and forensic medical examinations | |
| | If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.) | na |
| 115.22 (a) | Policies to ensure referrals of allegations for investigations | |
| | Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse? | yes |
| | Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment? | yes |
| 115.22 (b) | Policies to ensure referrals of allegations for investigations | |
| | Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior? | yes |
| | Has the agency published such policy on its website or, if it does not have one, made the policy available through other means? | yes |
| | Does the agency document all such referrals? | yes |

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| 115.22 (c) | Policies to ensure referrals of allegations for investigations | |
| | If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).) | yes |
| 115.31 (a) | Employee training | |
| | Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment? | yes |
| | Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures? | yes |
| | Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment? | yes |
| | Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment? | yes |
| | Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement? | yes |
| | Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims? | yes |
| | Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse? | yes |
| | Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates? | yes |
| | Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates? | yes |
| | Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities? | yes |

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| 115.31 (b) | Employee training | |
| | Is such training tailored to the gender of the inmates at the employee's facility? | yes |
| | Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa? | yes |
| 115.31 (c) | Employee training | |
| | Have all current employees who may have contact with inmates received such training? | yes |
| | Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures? | yes |
| | In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies? | yes |
| 115.31 (d) | Employee training | |
| | Does the agency document, through employee signature or electronic verification, that employees understand the training they have received? | yes |
| 115.32 (a) | Volunteer and contractor training | |
| | Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures? | yes |
| 115.32 (b) | Volunteer and contractor training | |
| | Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)? | yes |
| 115.32 (c) | Volunteer and contractor training | |
| | Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received? | yes |

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| 115.33 (a) Inmate education | | |
| | During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment? | yes |
| | During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment? | yes |
| 115.33 (b) Inmate education | | |
| | Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment? | yes |
| | Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents? | yes |
| | Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents? | yes |
| 115.33 (c) Inmate education | | |
| | Have all inmates received the comprehensive education referenced in 115.33(b)? | yes |
| | Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility? | yes |
| 115.33 (d) Inmate education | | |
| | Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient? | yes |
| | Does the agency provide inmate education in formats accessible to all inmates including those who are deaf? | yes |
| | Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired? | yes |
| | Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled? | yes |
| | Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills? | yes |

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| 115.33 (e) | Inmate education | |
| | Does the agency maintain documentation of inmate participation in these education sessions? | yes |
| 115.33 (f) | Inmate education | |
| | In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats? | yes |
| 115.34 (a) | Specialized training: Investigations | |
| | In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | na |
| 115.34 (b) | Specialized training: Investigations | |
| | Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | na |
| | Does this specialized training include proper use of Miranda and Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | na |
| | Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | na |
| | Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | na |

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| 115.34 (c) | Specialized training: Investigations | |
| | Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | na |
| 115.35 (a) | Specialized training: Medical and mental health care | |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | na |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | na |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | na |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | na |
| 115.35 (b) | Specialized training: Medical and mental health care | |
| | If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.) | na |

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| 115.35 (c) | Specialized training: Medical and mental health care | |
| | Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | na |
| 115.35 (d) | Specialized training: Medical and mental health care | |
| | Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.) | na |
| | Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.) | na |
| 115.41 (a) | Screening for risk of victimization and abusiveness | |
| | Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates? | yes |
| | Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates? | yes |
| 115.41 (b) | Screening for risk of victimization and abusiveness | |
| | Do intake screenings ordinarily take place within 72 hours of arrival at the facility? | yes |
| 115.41 (c) | Screening for risk of victimization and abusiveness | |
| | Are all PREA screening assessments conducted using an objective screening instrument? | yes |

| 115.41 (d) | Screening for risk of victimization and abusiveness | |
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| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) Whether the inmate is detained solely for civil immigration purposes? | yes |

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| 115.41 (e) | Screening for risk of victimization and abusiveness | |
| | In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse? | yes |
| | In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses? | yes |
| | In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse? | yes |
| 115.41 (f) | Screening for risk of victimization and abusiveness | |
| | Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening? | yes |
| 115.41 (g) | Screening for risk of victimization and abusiveness | |
| | Does the facility reassess an inmate's risk level when warranted due to a referral? | yes |
| | Does the facility reassess an inmate's risk level when warranted due to a request? | yes |
| | Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse? | yes |
| | Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness? | yes |
| 115.41 (h) | Screening for risk of victimization and abusiveness | |
| | Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section? | yes |
| 115.41 (i) | Screening for risk of victimization and abusiveness | |
| | Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates? | yes |

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| 115.42 (a) Use of screening information | | |
| | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments? | yes |
| | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments? | yes |
| | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments? | yes |
| | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments? | yes |
| | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments? | yes |
| 115.42 (b) Use of screening information | | |
| | Does the agency make individualized determinations about how to ensure the safety of each inmate? | yes |
| 115.42 (c) Use of screening information | | |
| | When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)? | yes |
| | When making housing or other program assignments for transgender or intersex inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems? | yes |

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| 115.42 (d) | Use of screening information | |
| | Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate? | yes |
| 115.42 (e) | Use of screening information | |
| | Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments? | yes |
| 115.42 (f) | Use of screening information | |
| | Are transgender and intersex inmates given the opportunity to shower separately from other inmates? | yes |
| 115.42 (g) | Use of screening information | |
| | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.) | yes |
| | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.) | yes |
| | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.) | yes |

| 115.43 (a) Protective Custody | | |
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| | Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers? | yes |
| | If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment? | yes |
| 115.43 (b) Protective Custody | | |
| | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible? | yes |
| | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible? | yes |
| | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible? | yes |
| | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible? | yes |
| | If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.) | na |
| | If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.) | na |
| | If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.) | na |

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| 115.43 (c) | Protective Custody | |
| | Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged? | yes |
| | Does such an assignment not ordinarily exceed a period of 30 days? | yes |
| 115.43 (d) | Protective Custody | |
| | If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety? | yes |
| | If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged? | yes |
| 115.43 (e) | Protective Custody | |
| | In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS? | yes |
| 115.51 (a) | Inmate reporting | |
| | Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment? | yes |
| | Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment? | yes |
| | Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents? | yes |

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| 115.51 (b) | Inmate reporting | |
| | Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency? | yes |
| | Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials? | yes |
| | Does that private entity or office allow the inmate to remain anonymous upon request? | yes |
| | Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.) | na |
| 115.51 (c) | Inmate reporting | |
| | Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties? | yes |
| | Does staff promptly document any verbal reports of sexual abuse and sexual harassment? | yes |
| 115.51 (d) | Inmate reporting | |
| | Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates? | yes |
| 115.52 (a) | Exhaustion of administrative remedies | |
| | Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse. | no |

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| 115.52 (b) | Exhaustion of administrative remedies | |
| | Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.) | yes |
| | Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| 115.52 (c) | Exhaustion of administrative remedies | |
| | Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.) | yes |
| | Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.) | yes |
| 115.52 (d) | Exhaustion of administrative remedies | |
| | Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.) | yes |
| | If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.) | yes |
| | At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.) | yes |

| 115.52 (e) | Exhaustion of administrative remedies | |
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| | Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| | Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.) | yes |
| | If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.) | yes |

| 115.52 (f) | Exhaustion of administrative remedies | |
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| | Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| | After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.) | yes |
| | After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.) | yes |
| | After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.) | yes |
| | Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| | Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.) | yes |
| | Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.) | yes |
| 115.52 (g) | Exhaustion of administrative remedies | |
| | If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.) | yes |

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| 115.53 (a) | Inmate access to outside confidential support services | |
| | Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations? | yes |
| | Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.) | na |
| | Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible? | yes |
| 115.53 (b) | Inmate access to outside confidential support services | |
| | Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws? | yes |
| 115.53 (c) | Inmate access to outside confidential support services | |
| | Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse? | yes |
| | Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements? | yes |
| 115.54 (a) | Third-party reporting | |
| | Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment? | yes |
| | Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate? | yes |

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| 115.61 (a) | Staff and agency reporting duties | |
| | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency? | yes |
| | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment? | yes |
| | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation? | yes |
| 115.61 (b) | Staff and agency reporting duties | |
| | Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions? | yes |
| 115.61 (c) | Staff and agency reporting duties | |
| | Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section? | yes |
| | Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services? | yes |
| 115.61 (d) | Staff and agency reporting duties | |
| | If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws? | yes |
| 115.61 (e) | Staff and agency reporting duties | |
| | Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators? | yes |

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| 115.62 (a) | Agency protection duties | |
| | When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate? | yes |
| 115.63 (a) | Reporting to other confinement facilities | |
| | Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred? | yes |
| 115.63 (b) | Reporting to other confinement facilities | |
| | Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation? | yes |
| 115.63 (c) | Reporting to other confinement facilities | |
| | Does the agency document that it has provided such notification? | yes |
| 115.63 (d) | Reporting to other confinement facilities | |
| | Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards? | yes |

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| 115.64 (a) | Staff first responder duties | |
| | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser? | yes |
| | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence? | yes |
| | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? | yes |
| | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? | yes |
| 115.64 (b) | Staff first responder duties | |
| | If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff? | yes |
| 115.65 (a) | Coordinated response | |
| | Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse? | yes |

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| 115.66 (a) | Preservation of ability to protect inmates from contact with abusers | |
| | Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted? | yes |
| 115.67 (a) | Agency protection against retaliation | |
| | Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff? | yes |
| | Has the agency designated which staff members or departments are charged with monitoring retaliation? | yes |
| 115.67 (b) | Agency protection against retaliation | |
| | Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations? | yes |

| 115.67 (c) | Agency protection against retaliation | |
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| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff? | yes |
| | Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need? | yes |

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| 115.67 (d) | Agency protection against retaliation | |
| | In the case of inmates, does such monitoring also include periodic status checks? | yes |
| 115.67 (e) | Agency protection against retaliation | |
| | If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation? | yes |
| 115.68 (a) | Post-allegation protective custody | |
| | Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43? | yes |
| 115.71 (a) | Criminal and administrative agency investigations | |
| | When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).) | yes |
| | Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).) | yes |
| 115.71 (b) | Criminal and administrative agency investigations | |
| | Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34? | yes |
| 115.71 (c) | Criminal and administrative agency investigations | |
| | Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data? | yes |
| | Do investigators interview alleged victims, suspected perpetrators, and witnesses? | yes |
| | Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator? | yes |

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| 115.71 (d) | Criminal and administrative agency investigations | |
| | When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution? | yes |
| 115.71 (e) | Criminal and administrative agency investigations | |
| | Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff? | yes |
| | Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding? | yes |
| 115.71 (f) | Criminal and administrative agency investigations | |
| | Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse? | yes |
| | Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings? | yes |
| 115.71 (g) | Criminal and administrative agency investigations | |
| | Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible? | yes |
| 115.71 (h) | Criminal and administrative agency investigations | |
| | Are all substantiated allegations of conduct that appears to be criminal referred for prosecution? | yes |
| 115.71 (i) | Criminal and administrative agency investigations | |
| | Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years? | yes |

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| 115.71 (j) | Criminal and administrative agency investigations | |
| | Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation? | yes |
| 115.71 (l) | Criminal and administrative agency investigations | |
| | When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).) | yes |
| 115.72 (a) | Evidentiary standard for administrative investigations | |
| | Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated? | yes |
| 115.73 (a) | Reporting to inmates | |
| | Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded? | yes |
| 115.73 (b) | Reporting to inmates | |
| | If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.) | yes |

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| 115.73 (c) | Reporting to inmates | |
| | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit? | yes |
| | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility? | yes |
| | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility? | yes |
| | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility? | yes |
| 115.73 (d) | Reporting to inmates | |
| | Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility? | yes |
| | Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility? | yes |
| 115.73 (e) | Reporting to inmates | |
| | Does the agency document all such notifications or attempted notifications? | yes |

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| 115.76 (a) | Disciplinary sanctions for staff | |
| | Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies? | yes |
| 115.76 (b) | Disciplinary sanctions for staff | |
| | Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse? | yes |
| 115.76 (c) | Disciplinary sanctions for staff | |
| | Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories? | yes |
| 115.76 (d) | Disciplinary sanctions for staff | |
| | Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies (unless the activity was clearly not criminal)? | yes |
| | Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies? | yes |
| 115.77 (a) | Corrective action for contractors and volunteers | |
| | Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates? | yes |
| | Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)? | yes |
| | Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies? | yes |
| 115.77 (b) | Corrective action for contractors and volunteers | |
| | In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates? | yes |

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| 115.78 (a) | Disciplinary sanctions for inmates | |
| | Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process? | yes |
| 115.78 (b) | Disciplinary sanctions for inmates | |
| | Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories? | yes |
| 115.78 (c) | Disciplinary sanctions for inmates | |
| | When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior? | yes |
| 115.78 (d) | Disciplinary sanctions for inmates | |
| | If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits? | yes |
| 115.78 (e) | Disciplinary sanctions for inmates | |
| | Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact? | yes |
| 115.78 (f) | Disciplinary sanctions for inmates | |
| | For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation? | yes |
| 115.78 (g) | Disciplinary sanctions for inmates | |
| | If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.) | yes |

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| 115.81 (a) | Medical and mental health screenings; history of sexual abuse | |
| | If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison). | yes |
| 115.81 (b) | Medical and mental health screenings; history of sexual abuse | |
| | If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.) | yes |
| 115.81 (c) | Medical and mental health screenings; history of sexual abuse | |
| | If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail). | na |
| 115.81 (d) | Medical and mental health screenings; history of sexual abuse | |
| | Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law? | yes |
| 115.81 (e) | Medical and mental health screenings; history of sexual abuse | |
| | Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18? | yes |

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| 115.82 (a) | Access to emergency medical and mental health services | |
| | Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment? | yes |
| 115.82 (b) | Access to emergency medical and mental health services | |
| | If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62? | yes |
| | Do security staff first responders immediately notify the appropriate medical and mental health practitioners? | yes |
| 115.82 (c) | Access to emergency medical and mental health services | |
| | Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate? | yes |
| 115.82 (d) | Access to emergency medical and mental health services | |
| | Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident? | yes |
| 115.83 (a) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility? | yes |
| 115.83 (b) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody? | yes |

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| 115.83 (c) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Does the facility provide such victims with medical and mental health services consistent with the community level of care? | yes |
| 115.83 (d) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.) | yes |
| 115.83 (e) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.) | yes |
| 115.83 (f) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate? | yes |
| 115.83 (g) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident? | yes |

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| 115.83 (h) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.) | yes |
| 115.86 (a) | Sexual abuse incident reviews | |
| | Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded? | yes |
| 115.86 (b) | Sexual abuse incident reviews | |
| | Does such review ordinarily occur within 30 days of the conclusion of the investigation? | yes |
| 115.86 (c) | Sexual abuse incident reviews | |
| | Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners? | yes |

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| 115.86 (d) | Sexual abuse incident reviews | |
| | Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse? | yes |
| | Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility? | yes |
| | Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse? | yes |
| | Does the review team: Assess the adequacy of staffing levels in that area during different shifts? | yes |
| | Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff? | yes |
| | Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager? | yes |
| 115.86 (e) | Sexual abuse incident reviews | |
| | Does the facility implement the recommendations for improvement, or document its reasons for not doing so? | yes |
| 115.87 (a) | Data collection | |
| | Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions? | yes |
| 115.87 (b) | Data collection | |
| | Does the agency aggregate the incident-based sexual abuse data at least annually? | yes |
| 115.87 (c) | Data collection | |
| | Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice? | yes |

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| 115.87 (d) | Data collection | |
| | Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews? | yes |
| 115.87 (e) | Data collection | |
| | Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.) | na |
| 115.87 (f) | Data collection | |
| | Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.) | yes |
| 115.88 (a) | Data review for corrective action | |
| | Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas? | yes |
| | Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis? | yes |
| | Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole? | yes |
| 115.88 (b) | Data review for corrective action | |
| | Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse? | yes |
| 115.88 (c) | Data review for corrective action | |
| | Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means? | yes |

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| 115.88 (d) | Data review for corrective action | |
| | Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility? | yes |
| 115.89 (a) | Data storage, publication, and destruction | |
| | Does the agency ensure that data collected pursuant to § 115.87 are securely retained? | yes |
| 115.89 (b) | Data storage, publication, and destruction | |
| | Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means? | yes |
| 115.89 (c) | Data storage, publication, and destruction | |
| | Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available? | yes |
| 115.89 (d) | Data storage, publication, and destruction | |
| | Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise? | yes |
| 115.401 (a) | Frequency and scope of audits | |
| | During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.) | yes |

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| 115.401 (b) | Frequency and scope of audits | |
| | Is this the first year of the current audit cycle? (Note: a “no” response does not impact overall compliance with this standard.) | no |
| | If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.) | na |
| | If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.) | yes |
| 115.401 (h) | Frequency and scope of audits | |
| | Did the auditor have access to, and the ability to observe, all areas of the audited facility? | yes |
| 115.401 (i) | Frequency and scope of audits | |
| | Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)? | yes |
| 115.401 (m) | Frequency and scope of audits | |
| | Was the auditor permitted to conduct private interviews with inmates, residents, and detainees? | yes |
| 115.401 (n) | Frequency and scope of audits | |
| | Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel? | yes |

| 115.403 (f) | Audit contents and findings | |
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| | <p>The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)</p> | yes |